

City of Seattle Response to Request for Information Regarding the Harbor Island Superfund Site, East Waterway Operating Unit

D. QUESTIONS

1. Identification and Association with Subject Property

Responses to Questions 1.a through 1.s are provided in separate narratives submitted for each City of Seattle Subject Property.

2. Financial Information

- a. Provide true and complete copies of all federal income tax documents, including all supporting schedules, for 2015, 2016, 2017, 2018, and 2019. Provide the federal Tax Identification Number and, if documentation is not available, explain why in detail.

The respondent, City of Seattle, does not file federal income tax returns. Copies of the City's annual financial reports for 2009 through 2020 are available on the City's website [here](#):

[Comprehensive Annual Financial Report - Financial Services | seattle.gov](#)

The City's federal Tax Identification Number is (b) (6) .

- b. Provide Respondent's financial interest in, control of, or that Respondent is a beneficiary of any assets (in the U.S. or in another country) that have not been identified in the federal tax returns or other financial information to be presented to the EPA. If there are such assets, identify each asset by type of asset, estimated value, and location.

All City of Seattle assets are identified in the City's Annual Financial Reports.

- c. If Respondent is, or was at any time, a subsidiary of, otherwise owned or controlled by, or otherwise affiliated with another corporation or entity, then describe the full nature of each such corporate relationship, including but not limited to:
 - i. a general statement of the nature of relationship, indicating whether the affiliated entity had, or exercised, any degree of control over the daily operations or decision-making of Respondent's business operations at the Subject Property;
 - ii. the dates such relationship existed;
 - iii. the percentage of ownership of Respondent that is held by such other entity(ies);

- iv. for each such affiliated entity provide the names and complete addresses of its parent, subsidiary, and otherwise affiliated entities, as well as the names and addresses of each such affiliated entity's officers, directors, partners, trustees, beneficiaries, and/or shareholders owning more than five percent of that affiliated entity's stock;
- v. providing any and all insurance policies for such affiliated entity(ies) which may possibly cover the liabilities of Respondent associated with the Subject Property or the EWOU; and
- vi. provide any and all corporate financial information of such affiliated entities, including but not limited to total revenue or total sales, net income, depreciation, total assets and total current assets, total liabilities and total current liabilities, net working capital (or net current assets), and net worth.

The City of Seattle is not affiliated with any other entities.

3. **Insurance Coverage**

- a. Provide copies of all property, casualty and/or liability insurance policies, and any other insurance contracts referencing the Subject Property or EWOU and/or Respondent's business operations (including, but not limited to, Comprehensive General Liability, Environmental Impairment Liability, Pollution Legal Liability, Cleanup Cost Cap or Stop Loss Policies). Include, without limitation, all primary, excess, and umbrella policies which could be applicable to costs of environmental investigation and/or cleanup and include the years such policies were in effect.

The City has been self-insured for many years. The City has done extensive research regarding prior insurance policies and has concluded that it did not have policies that would provide coverage for the EWOU.

b.-g.

See the response to 3.a.

4. **Compliance with This Request**

- a. Describe all sources reviewed or consulted in responding to this Request, including, but not limited to:
 - i. the name and current job title of all individuals consulted; and

Claudette CabralAure, Warehouse Group Manager

Colin Carpenter, Lieutenant – Seattle Police Department

Judith Cross, Real Property Manager

Tim Dike, Equipment & Facilities Coordinating Senior

Jeanie Fisher, Reference Archivist

Nathan Hart, Senior Environmental Analyst

Bey Himick, Laboratory Manager (Washington State Patrol)

Melissa Ivancevich, Municipal Stormwater Specialist

Matthew Johnson, Captain – Seattle Fire Department Support Services

Aaron Keating, Sergeant – Seattle Police Department

John Ovitt, Facilities Strategic Advisor 2

Jason Philips, Property Manager

Dawn Qualle, Forensic Support Services Manager

Winsome Robinson Williams, Drinking Water Quality Interim Director

Jacques Rodriquez, Senior Real Property Agent

Jodi Sass, CODIS Crime Laboratory Manager (Washington State Patrol)

Nancy Stachey, Property Manager

Echo Tremaglio, Senior Environmental Analyst

Chris Wolf, Safety and Health Supervisor

Shab Zand, Environmental Advisor

ii. the location where all documents reviewed are currently kept.

Documents reviewed for this submittal are kept in the following City of Seattle department offices:

- Department of Finance and Administrative Services
- Seattle Department of Construction and Inspections
- Seattle City Light
- Seattle Fire Department
- Seattle Police Department

- Seattle Public Utilities, including the Engineering Records Vault

Records kept at City of Seattle public repositories were reviewed and produced with this response. Links to the repositories are provided here:

- Seattle City Clerk's Office - [Legislation & Research - CityClerk | seattle.gov](#)
- Seattle Department of Construction and Inspections Permit and Property Record database - [Permit and Property Records - Seattle Department of Construction and Inspections](#)
- Seattle Department of Construction and Inspections Side Sewer Cards and Maps database - [Side Sewer Cards and Maps - Seattle Department of Construction and Inspections](#)
- Seattle Municipal Archives - [Seattle Municipal Archives - CityArchives | seattle.gov](#)
- Seattle Public Utilities GIS Data - [DSO Water & Sewer Map \(seattle.gov\)](#)

Records available through the internet were relied on for some responses. In its narratives, the City provided links to Seattle Public Library, HistoryLink, and PSE websites and records.

Some records were collected from the Washington State Patrol Crime Laboratory. Washington State Department of Ecology facility/site records, cleanup records, permits, and UST records also were reviewed in responding to this request.